

Processing of personal data in handling notifications of breaches of relevant regulations

Who is the data controller?

The Croatian National Bank, Trg hrvatskih velikana 3, 10000 Zagreb, OIB: 95970281739, is the data controller for the processing of personal data collected from data subjects via notifications of breaches of relevant regulations. It processes these data in accordance with relevant regulations, including Regulation (EU) No 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46/EC (Text with EEA relevance) (OJ L 119, 4.5.2016) (General Data Protection Regulation) and the Act on the Implementation of General Data Protection Regulation (Official Gazette 42/2018).

A data subject is any natural person (individual) whose identity is or can be established.

Why do we process your personal data?

We process your personal data when this is necessary for the exercise of our official authority related to handling submitted notifications of breaches of relevant regulations in accordance with Article 358 of the Credit Institutions Act (Official Gazette 59/2013, 19/2015, 102/2015, 15/2018, 70/2019, 47/2020, 146/2020 and 151/2022) and Article 38 of Regulation (EU) No 468/2014 of the European Central Bank of 16 April 2014 establishing the framework for cooperation within the Single Supervisory Mechanism between the European Central Bank and national competent authorities and with national designated authorities (SSM Framework Regulation) (OJ L 141/1, 14.5.2014).

In addition, for the purpose of taking timely action within our competence based on the information collected from notifications of breaches of relevant regulations, your personal data are processed in our exercise of supervision of credit institutions in accordance with Article 175 of the Credit Institutions Act.

Which personal data are processed?

Based on the notifications of breaches of relevant regulations, we process personal data concerning individuals reporting suspected breach of relevant regulations, personal data concerning individuals alleged to have breached relevant regulations and personal data of other persons involved according to the notification of a breach of relevant regulations. We process the following categories of personal data: identification data (e.g., name and surname and date of birth), contact data (e.g., e-mail address and place of residence), data related to employment and professional activity (e.g., profession, title and position), financial data (e.g., statements of salary and other compensation and securities portfolios) and other data received in the notification of a breach of relevant regulations.

Who has access to personal data and to whom are they forwarded?

Access to personal data from the notification of a breach of relevant regulations in the Croatian National Bank is provided only to our employees who are authorised to handle notifications of

breaches of relevant regulations. Notifications on breaches of relevant regulations that are unrelated to breaches of relevant regulations, but do concern the exercise of our other tasks (e.g., prevention of money laundering and terrorist financing, consumer protection and supervision of payment services) will also be forwarded to our employees performing supervision or oversight of application of regulations to which the notification of a breach of relevant regulations refers, i.e., to employees who require these data on a need-to-know basis.

Information submitted via notifications of breaches of relevant regulations is forwarded to the [European Central Bank](#) where it is established that there is a basis for action within the area of competence of the European Central Bank. **However, in forwarding such information, the personal data on the person that submitted the notification on a breach of relevant regulations are only forwarded with their explicit consent.**

What sources are used to collect personal data?

The personal data on the person submitting the notification of a breach of relevant regulations is collected partly directly from the person submitting the notification of a breach of relevant regulations and partly indirectly from the European Central Bank, exclusively under the explicit consent of the person submitting the notification.

The personal data on individuals alleged to have performed a breach of relevant regulations and the personal data of other persons specified in the notification of a breach of relevant regulations are collected indirectly from the person submitting the notification of a breach of relevant regulations and from the European Central Bank as well as from publicly available sources of data such as court registers.

How long do we keep your personal data?

Upon closing the procedure relating to a notification of a breach of relevant regulations, all relevant personal data specified therein will be retained for a limited period until the purpose of personal data processing is met.

If the notification of a breach of relevant regulations is deemed relevant for performing supervisory tasks, the data will be retained for five years. If the notification of a breach of relevant regulations is deemed irrelevant for performing supervisory tasks, but concerns other tasks we perform, the data will be stored for twelve months. If the notification of a breach of relevant regulations is irrelevant for any of the tasks performed by the Croatian National Banks, the data will be stored for three months.

How do we protect your personal data?

We protect your personal data from any infringement, including unauthorised access, accidental loss, destruction, damage and any other breach of safety.

We protect your personal data by technical and organisational measures, such as control of access to all data and documents, application of authentication methods (passwords, PINs, smart cards), monitoring the access to and activities in the information technology system and using security software to ensure the safety of our information technology equipment and data.

What are your rights concerning your personal data that we process?

In accordance with the conditions laid down in the General Data Protection Regulation, you may exercise the following rights concerning the processing of personal data:

- right of access to personal data;
- right of rectification;
- right to restrict the processing of your personal data; and
- right to object.

How can you exercise your rights?

The exercising of your rights is facilitated by request forms available on our website, which you can access here: <https://www.hnb.hr/en/protection-of-personal-data>

Contact data

HRVATSKA NARODNA BANKA
n/p službenika za zaštitu podataka
Trg hrvatskih velikana 3
10000 Zagreb, Republic of Croatia

or e-mail: sluzbenik.osobni@hnb.hr

Lodging a complaint with the Personal Data Protection Agency

The supervisory authority for the protection of personal data in the Republic of Croatia is the Croatian Personal Data Protection Agency, Zagreb, Ulica Metela Ožegovića 16 (hereinafter: AZOP).

Please note that you can lodge a complaint about our actions concerning the processing of your personal data with AZOP.

Additional information

Any additional information on the exercise of the right to personal data protection may be requested by e-mail from our personal data protection officer at: sluzbenik.osobni@hnb.hr.